Third amendment of Implementation framework for the European platform for the exchange of balancing energy from frequency restoration reserves with automatic activation

in accordance with Article 21 of Commission Regulation (EU) 2017/2195 of 23 November 2017 establishing a guideline on electricity balancing

2 July 2025

Purpose:	□ methodology draft	\boxtimes for public consultation
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TSO approval:	\Box for approval	⊠ approved
NRA approval:	□ outstanding	□ approved

All TSOs, taking into account the following:

Whereas

- (1) This amendment to the Implementation framework for a European platform for the exchange of balancing energy from frequency restoration reserves with automatic activation in accordance with Article 21(1) of Commission Regulation (EU) 2017/2195 of 23 November 2017 establishing a guideline on electricity balancing ("EB Regulation") is based on the ACER decision 02-2020 of 24 January 2020 amended by ACER decision 15-2022 of 30 September 2022 and ACER decision 08-2024 of 5 July 2024 (hereafter referred to as the "aFRRIF").
- (2) Article 20(2)(g) of the aFRRIF provides that "all TSOs shall submit an amended aFRRIF including the common harmonisation proposal no later than 36 months after the aFRR-Platform becomes operational."
- (3) In accordance with Article 20(2) of the aFRRIF, this amendment fulfils all TSO obligations regarding the submission of an amended aFRRIF including the common harmonisation proposal.
- (4) According to Article 18(1) of the EB Regulation, terms and conditions related to balancing are a responsibility of each TSO. According to Article 18(3)(b) of EB Regulation and Article 20(1) of the aFRRIF they have to respect a framework for harmonisation pursuant to Article 21(3)(f) of the EB Regulation. All TSOs acknowledge this aFRRIF provision and support the harmonisation of terms and conditions related to balancing, in order to ensure a level playing field for all market participants in an integrated European market. Due to the delayed connection of TSOs to the European balancing platforms, the integration of balancing energy markets has not proceeded as envisaged by the EB Regulation. Therefore, the anticipated common European basis resulting from experience with the European Balancing Platforms and the EB Regulation-based market design, which was assumed to be available to run processes according to the framework for harmonisation of terms and conditions related to balancing, is not yet in place. As these developments are behind schedule, all TSOs have decided to maintain a general level of the common harmonisation proposal for now, with the aim of limiting the necessary implementation efforts and avoid disturbing national developments to establish the EB Regulation target design. The framework for harmonisation of terms and conditions related to balancing foresees an iterative approach where all TSOs will stepwise work on the targeted and gradually more detailed harmonisation of terms and conditions related to balancing.
- (5) This amendment results from the taken efforts of all TSOs to fulfil the obligations of Article 20(2) of the aFRRIF by identifying a short list of prioritised harmonisation needs, identifying harmonisation options for each prioritised harmonisation need and consultation of the harmonisation options with the stakeholders.
- (6) All TSOs support the process of harmonising terms and conditions related to balancing to facilitate the integration of European balancing markets. While proceeding according to Article 20(2) of the aFRRIF, all TSOs experienced that the established process is not efficient due to the repeated stakeholder surveys every year and leaves too little time for the preparation of the common harmonisation proposal. For this reason, in addition to the common harmonisation proposal, this amendment to the aFRRIF

also includes an amendment to the process for harmonising the terms and conditions related to balancing.

- (7) All TSOs are of the opinion that the common harmonisation proposal should not be placed in the implementation frameworks of the European platforms as its provisions are out of scope the European platforms. Therefore, the common harmonisation proposal should be added as an Annex to the implementation frameworks of the European platforms to enable easier transition to effective future regulation (e.g. as a separate Methodology in revised EB Regulation, focusing on the harmonisation).
- (8) The common harmonisation proposal attached to this methodology mainly aims at harmonising and simplifying the prequalification process for FRR providing RPGs and RPUs. It additionally contains general provisions related to the interaction of BSPs and connecting TSOs as well as provisions on switching of RPGs/RPUs between BSPs. The common harmonisation proposal considers differences between TSOs applying central and self-dispatching models. This amendment continues to fulfil the objectives of EB Regulation. In particular, it
 - a. fosters effective competition, non-discrimination and transparency in balancing markets as well as the efficiency of European and national balancing markets and the liquidity of balancing markets while preventing undue distortions within the internal electricity market;
 - b. supports the integration of balancing markets by harmonised processes and requirements such as prequalification or standardised IT protocols and allows for the exchange of balancing energy on a European level while ensuring operational security in real-time;
 - c. contributes to the efficient long-term operation and development of the electricity transmission system and electricity sector in the Union while facilitating the efficient and consistent functioning of balancing markets by setting harmonised rules and improving the process for continuous harmonisation of terms and conditions for BSPs;
- (9) Article 21(1) of the EB Regulation requires all TSOs to develop the aFRRIF. All TSOs who are responsible for the development of the proposal and for its submission to ACER are the following: APG Austrian Power Grid AG, VÜEN-Vorarlberger Übertragungsnetz GmbH, Elia Elia Transmission Belgium S.A., ESO Electroenergien Sistemen Operator EAD, HOPS Croatian Transmission System Operator Ltd, ČEPS ČEPS, a.s., Energinet Energinet, Elering Elering AS, Fingrid Fingrid OyJ, Kraftnät Åland Ab, RTE Réseau de Transport d'Electricité, S.A, Amprion Amprion GmbH, TransnetBW -TransmetBW GmbH, TenneT GER TenneT TSO GmbH, 50Hertz 50Hertz Transmission GmbH, IPTO Independent Power Transmission Operator S.A., MAVIR ZRt. MAVIR Magyar Villamosenergia-ipari Átviteli Rendszerirányító Zártkörűen Működő Részvénytársaság ZRt., EirGrid EirGrid plc, Terna Terna SpA, Augstsprieguma tikls AS Augstsprieguma tikls, LITGRID LITGRID AB, CREOS Luxembourg CREOS Luxembourg S.A., Transelectrica C.N. Transelectrica S.A., SEPS Slovenská elektrizačná prenosovú sústava, a.s., ELES -

ELES, d.o.o, REE - Red Eléctrica de España S.A.U, Svenska Kraftnät - Affärsverket Svenska Kraftnät, SONI System Operator for Northern Ireland Ltd.

SUBMIT THE FOLLOWING PROPOSAL FOR AMENDMENT OF THE IMPLEMEN-TATION FRAMEWORK FOR THE EUROPEAN PLATFORM FOR THE EX-CHANGE OF BALANCING ENERGY FROM FREQUENCY RESTORATION RE-SERVES WITH AUTOMATIC ACTIVATION TO ACER

Article 1

Framework for harmonisation of terms and conditions related to balancing

Article 12– Framework for harmonisation of terms and conditions related to the aFRR-Platform – of aFRRIF shall be amended as follows:

a) Paragraph 2 shall be amended and be read accordingly:

«2. The framework for harmonisation shall take into account the differences between TSOs applying central and self-dispatching models and respect the following process:

- all TSOs shall continuously evaluate the terms and conditions for BSPs in order to identify harmonisation needs. A stakeholder survey shall be organised every 36 months, with the first survey occurring during the first operational year of the aFRR-Platform. This survey shall support the identification by all TSOs of a short list of prioritised harmonisation needs with close involvement of all relevant regulatory authorities;
- b) in case justified harmonisation needs were identified, all TSOs shall then identify harmonisation options for each prioritised harmonisation need with close involvement of stakeholders and regulatory authorities;
- c) all TSOs shall publicly consult the harmonisation options identified under Article 20(2)(b) of this aFRRIF, if any, with the stakeholders for a period of two months during the following year;
- d) all TSOs shall evaluate any public consultation results and develop a common harmonisation proposal for the identified issues, if any, to harmonise terms and conditions for BSPs;
- e) all TSOs shall submit an amended aFRRIF including the common harmonisation proposal as an Annex in accordance with Article 6(3) of the EB Regulation no later than 36 months after the aFRR-Platform becomes operational. The next aFRRIF amendment proposal including the common harmonisation proposal shall be submitted no later than 36 months after the previous aFRRIF amendment, in case justified harmonisation needs were identified;

f) each relevant TSO shall implement the provisions on the harmonisation of Terms and Conditions for Balancing Service Providers according to Article 18(5) of the EB Regulation as set out in Annex I by proposing an amendment of the terms and conditions for BSPs to its relevant NRA where necessary no later than one (1) year after the relevant ACER decision. »

Article 2 Common harmonisation proposal

A new Annex I as attached to this amendment shall be added to the aFRRIF.

Article 3 Implementation Timeline

All TSOs shall implement this amendment to the aFRRIF within 15 days after the publication of the decision by the Agency for the Cooperation of Energy Regulators.

Article 4 Publication of the Amendment

All TSOs shall publish this amendment to the aFRRIF without undue delay pursuant to Article 7 of EB Regulation after a decision has been taken by the Agency for the Cooperation of Energy Regulators in accordance with Articles 5(2)(a), of the EB Regulation and Articles 5(2) Regulation (EU) 2019/942 establishing a European Union Agency for the Cooperation of Energy Regulators

Article 5

Language

1. The reference language for this amendment to the aFRRIF shall be English.

2. For the avoidance of doubt, where TSOs need to translate this amendment to the aFRRIF into their national language(s), in the event of inconsistencies between the English version published by the all TSOs in accordance with Article 7 of the EB Regulation and any version in another language, the relevant TSOs shall be obliged to dispel any inconsistencies by providing a revised translation of this amendment to the aFRRIF to their relevant national regulatory authorities.

Annex 1 to the Implementation framework for the European platform for the exchange of balancing energy from frequency restoration reserves with manual activation: All TSOs Common harmonisation proposal

2 July 2025

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Title 1 General provisions

Article 1 Subject matter and scope

- 1. The CHP contains a framework for harmonisation of T&Cs related to FRR to be further specified following the process as defined in the aFRRIF and mFRRIF. Besides general provisions, it specifies six (6) areas for harmonisation:
 - a. English Publication of T&Cs;
 - b. Permission of English communication between TSOs and Balancing Service Providers (BSPs);
 - c. Harmonisation of FRR Prequalification Process;
 - d. Switching of reserve providing units (RPUs) and reserve providing groups (RPGs) between BSPs;
 - e. Re-Prequalification; and
 - f. Data exchange standards.
- 2. The provisions of this CHP shall apply to the setup of TSO-TSO model, where the BSP provides balancing services to its reserve connecting TSO.

Article 2 Definitions and interpretation

- Terms used in this document shall have the meaning of the definitions included in Article 2 of the EB Regulation, of the SO Regulation, of the Commission Regulation (EU) 2015/1222 of 24 July 2015 establishing a guideline on capacity allocation and congestion management, of the Regulation (EU) 2019/943, of the Directive (EU) 2019/944 of 5 June 2019 on common rules for the internal market for electricity and of the Commission Regulation (EU) 543/2013 of 14 June 2013 on submission and publication of data in electricity markets.
- 2. In addition, unless the context requires otherwise, the following terms shall have the meaning below:
 - a. 'Ex-post verification' means the evaluation by the reserve connecting TSO of the ability of a RPU/RPG to provide the relevant service based on the monitoring activities according to the Article 158 (5) of the SO Regulation.
 - b. 'Re-prequalification' means the reassessment of qualification as defined in Article 159 (6) of the SO Regulation.
- 3. In this CHP, unless the context requires otherwise:
 - a. the singular indicates the plural and vice versa;
 - b. the table of contents and headings are inserted for convenience only and do not affect the interpretation of the CHP; and
 - c. any reference to legislation, regulations, directive, order, instrument, code or any other enactment shall include any modification, extension or re-enactment of it then in force.

Article 3 English Publication of Terms and Conditions

- 1. Each reserve connecting TSO shall, in addition to the version(s) as approved by the relevant NRA, publish a non-legally binding English version of T&Cs for BSPs established pursuant to Article 18(1)(a) of the EB Regulation. The English version shall be either a summary or a full version of the T&Cs. The reserve connecting TSO shall publish the version in English via the ENTSO-E Transparency Platform, via the TSO's website and, if applicable, a joint-TSO website. Each relevant TSO shall keep the English version-up to date.
- 2. Each reserve connecting TSO that publishes a summarised English version of their national T&Cs should include in such summarised version at least:
 - a. Basic technical requirements, including restriction on devices for each individual type of Ancillary Services,
 - b. Requirements on communication between BSP and the reserve connecting TSO; and
 - c. The step-by-step process on how to become a BSP.

Article 4

Permission of English communication between TSOs and BSPs

- 1. Each reserve connecting TSO shall allow written and verbal working-level communication in English between BSPs and TSOs, except any legally binding documents.
- 2. Communication with TSO's control room operators shall be in a national language, if not specified otherwise.

Title 2 Harmonisation of FRR Prequalification

Article 5 Harmonised FRR Prequalification Process

- 1. The prequalification process pursuant to Article 159 of the SO Regulation shall follow the Harmonised FRR Prequalification Process for RPUs and RPGs.
- 2. The Harmonised FRR Prequalification Process shall be defined by the following steps:
 - a. Submission of an FRR prequalification application by the BSP to the reserve connecting TSO, providing an overview of the information relevant to the provision of the balancing service by the respective RPUs or RPGs. The application shall contain the information to be specified by the reserve connecting TSO in accordance with Article 6.
 - b. The reserve connecting TSO shall confirm whether the application submitted in accordance with Article 5(2)(a) is complete within eight weeks of its submission in accordance with Article 159 of the SO Regulation.
 - c. The reserve connecting TSO, in coordination with the BSP, shall evaluate the technical characteristics of the application in comparison with the corresponding FRR requirements of Article 158 of the SO Regulation. During the technical evaluation of Article 5(2)(c), the BSP shall demonstrate by an activation test the ability of the RPU/RPG to provide the relevant service. The national

T&Cs for BSPs may further specify in which cases an ex-post verification is applied instead of activation test. A communication test shall always be carried out, according to Article 158 (1)(e) of the SO Regulation, and may be included in the activation test.

- d. The reserve connecting TSO shall notify the results of the activation test to the BSP without undue delay.
- e. The reserve connecting TSO shall approve the prequalification of the RPU or RPG following the successful completion of the proceedings of Article 5(2)(c), respecting the timeline established under Article 5(3).
- 3. If the information provided under any of the steps referred to in Article 5(2) is deemed unsuitable for the requirements of the reserve connecting TSO, or is rejected by the reserve connecting TSO due to errors or missing information, the BSP shall be required to submit additional or corrected information to supplement the application. Upon notification by the TSOs, the BSP shall provide the additional or corrected information within four (4) weeks in accordance with Article 159(3) of the SO Regulation. The reserve connecting TSO shall have the timeline established under Article 5 (2)(b) to assess the newly submited application. The connecting TSO may reject the application if the BSP fails to submit the requested information within the provided deadline.
- 4. Within 3 months after the reserve connecting TSO confirms the completion of the application according to Article 5 (2)(a), the reserve connecting TSO shall evaluate the information provided according to Article 5 (2)(c) and Article 6 and decide whether the RPUs or RPGs under prequalification meet the criteria for prequalification as established under Article 159 of the SO Regulation. The reserve connecting TSO shall notify its decision to the BSP without undue delay. Upon notification by the reserve connecting TSO of a negative result of the technical evaluation, the BSP can do activation tests within the timeline established in Article 5 (5).
- 5. If there is a negative result of the technical evaluation, the reserve connecting TSO may provide an extension to the BSP to re-do the activation test. The BSP shall provide the supplementary information or activation test requested by the TSO within four (4) weeks. This extension may last no longer than nine (9) months from the submission of the FRR prequalification application by the BSP in Article 5 (2)(a). The FRR prequalification process may be terminated by the reserve connecting TSO pursuant Article 10(1)(c).
- 6. The National Regulatory Authority (NRA) may grant a derogation from the prequalification timeline of Article 5, in order to account for the operational specificities of a TSO.

Article 6 Application Submission requirements

- 1. <u>BSPs</u> shall make available information relevant to the provision of the balancing service by the respective RPUs or RPGs in their application submission to the reserve connecting TSOs.
 - a. The BSP shall submit the following information to the reserve connecting TSO:

- a. Asset information shall include name of the asset, technology type, capacity, control band positive/ negative, and allocation point information.
- b. Technical concept shall include forecast schedules and/or baselining methodology, and state of charge (SoC) management in case of assets with limited energy reservoirs.
- c. The general communication concept shall include a description of BSP and TSO communication.
- b. The reserve connecting TSO may further request by non-discriminatory treatment of BSPs the below information to be submitted via the reserve connecting TSO respective means of submission:
 - a. Fundamental BSP information that may describe the name of the BSP, address of the company, key contact person information, operational contact, settlement contact.
 - b. Asset information details may further include the location of the asset, RPU/RPG owner and/or asset authorisation proof of legal title, activation dynamics, the measurement accuracy, power output, grid connection certificate, BRP certificate, activation dynamics, the measurement accuracy, among other requirements based on each TSO's T&Cs.
 - c. Technical concept may further include the delivery, market type participation, redundancy requirements, combined activation of different balancing services, primary commercial purpose, handling of possible compensation and rebound-effects, energy efficiency during negative balancing provision, among other requirements based on each TSOs' T&Cs.
 - d. The general communication concept may further include a description of exchange and processing of measurement data, data granularity, archive requirements.
 - e. Additional data items that are not currently foreseen, where such data is deemed necessary.
- 2. The reserve connecting TSOs shall check whether these criteria are met according to Article 5(2)(c).

Article 7

Switching of RPUs/RPGs between Balancing Service Providers

- 1. Switching of RPUs/RPGs between different BSPs by keeping the prequalification status of RPUs/RPGs shall be allowed within a LFC area under the following conditions:
 - a. The communication infrastructure between BSP and RPU/RPGs of both the previous BSP and the new BSP shall be compatible;
 - b. The new BSP shall have a valid qualification status as defined by Article 16 of the EB Regulation;
 - c. The new BSP shall have demonstrated the ability to control a similar RPU or RPG for the provision of the same service. The criteria for similarity shall be subject to the reserve connecting TSOs' T&Cs for BSPs; and

- d. The RPU or RPG switching between BSPs has a prequalified capacity less than the threshold defined in the connecting TSO T&Cs.
- 2. In case of fulfilling all above-mentioned conditions, the reserve connecting TSO may perform an ex-post verification of the BSP's newly added RPU or RPG, as defined in Article 2 (2)(a). The reserve connecting TSO may also request additional data from the new BSP about the RPU or RPG, including the data as referred to in Article 6. The switching of RPUs/RPGs between BSPs as mentioned in Article 7 (1) shall be carried out within 6 weeks. In case of non-fulfilment of at least one condition of Article 7 (1), the reserve connecting TSO shall decide whether a prequalification process with activation test or ex-post verification is necessary. The timeframe shall be defined in the relevant national T&Cs starting from the moment the TSO has all necessary information.
- 3. The validity period of the prequalification status of the RPU/RPG that switched between BSPs shall be in accordance with national T&Cs.

Article 8 Re-Prequalification notification and process

- 1. Subject to the applicable national T&Cs for BSPs, the BSP shall inform the reserve connecting TSO no later than 6 weeks before the planned implementation date of any changes pursuant to Article 9 (1) to their RPU or RPG via the reserve connecting TSO communication requirements.
- 2. Subject to the applicable national T&Cs for BSPs, the reserve connecting TSO shall within 4 weeks of receiving the notification pursuant to Article 8 (1), assess whether re-prequalification on RPU or RPG level pursuant to Article 9 (1) is required. If re-prequalification is required, the reserve connecting TSO shall formally notify the BSP of this requirement, as established under Article 5, specifying the scope of the reassessment.
- 3. If a re-prequalification is required pursuant to Article 8 (2), the BSP shall be permitted to continue providing the relevant balancing service with the affected RPU or RPG only in case the re-prequalification is impacted by Article 9 (1) (b), Article 9 (1)(c) or Article 9 (1)(d), in accordance with the relevant national T&Cs for BSPs. In case the BSP wants to proceed according to Article 9 (1)(a), the BSP shall be permitted to continue providing services with the already prequalified or verified capacity of the RPU or RPG.
- 4. Following a notification by the reserve connecting TSO to the BSP in accordance with Article 9(2)(a), the BSP shall not be permitted to continue providing the relevant balancing services with the respective RPU or RPG effective immediately. The BSP shall be required to proceed with a complete FRR prequalification process according to Article 5 requirements. The reserve connecting TSO may terminate the re-prequalification process based on the requirements specified in Article 10.

Article 9 Conditions for Re-Prequalification

- 1. A BSP shall notify according to Article 8 (1) the reserve connecting TSO of the implementation of any changes to their RPU or RPG upon which the reserve connecting TSO may request re-prequalification pursuant to Article 8, if at least one of the following conditions are met:
 - a. The prequalified or verified capacity of the RPU or RPG changes by more than ten percent (10%) or three (3) megawatts (MW), whichever is lower, compared to the prequalified capacity confirmed in the most recent prequalification;
 - b. The BSP replaces or significantly modifies the ICT system used for the control of the RPU or RPG. The BSP shall inform the reserve connecting TSO about any change in its ICT system with potential effect on the reliability and efficiency of its service provision. The reserve connecting TSO shall have the right to require the re-performance of a test, in accordance with Article 158 (1)(e) of the SO Regulation, when the reliability of the service provision due to a significant change in the ICT system may be compromised, if defined in the national T&Cs for BSPs;
 - c. Information pursuant to Article 6 (1) and Article 6 (2) is no longer valid;
 - d. The last prequalification occurred more than five (5) years ago, and the RPU or RPG has not delivered the corresponding service in line with the service requirements of Article 158 of the SO Regulation to its reserve connecting TSO within the last twelve (12) months. If the connecting TSO does not have the information of the service provision on RPU or RPG level, the connecting TSO can request activation tests from the BSP as part of the re-prequalification with the aim of assessing compliance with the requirements on RPU and RPG level.
- 2. The reserve connecting TSO may request a re-prequalification of the respective RPU or RPG if a BSP has failed to deliver the corresponding service at RPU or RPG level in accordance with the service requirements set out in Article 158 of the SO Regulation to the reserve connecting TSO, or such failure has a detrimental impact on system operation, or the situation, in accordance with Article 10 (1)(a), takes place.

Article 10

Termination of prequalification status or prequalification and re-prequalification process

- 1. The reserve connecting TSO may terminate the prequalification status of a RPU or RPG if one of the following conditions takes place:
 - a. a BSP did not deliver the corresponding service on RPU or RPG level in line with the service requirements of Article 158 of the SO Regulation to its connecting TSO in an one (1) day period, the reserve connecting TSO will contact the BSP requiring improvement. If the BSP does not show improvement to the

standards of the service requirements according to Article 158 of the SO Regulation within three (3) months, the prequalification of RPU or RPG may be terminated by reserve connecting TSO; or

- b. the condition of Article 9 (2) is triggered.
- 2. The reserve connecting TSO may terminate any prequalification or re-prequalification process if one of the following conditions takes place:
 - a. the BSP is not finalising the prequalification, re-prequalification process, the prequalification of RPU or RPG may be terminated by connecting TSO according to Article 5 and Article 8; or
 - b. the FRR qualification process takes longer than the TSO derogation to repeat the activation test according to Article 5 (5); or
 - c. at least one of the conditions of Article 10 (1) is observed.

Title 3 IT Harmonisation

Article 11 Data exchange standards

- 1. By 18 months after the approval of this proposal, ENTSO-E shall define and publish a list of European data exchange standards based on ETSI-CEN-CENELEC set of standards, with one standard defined per relevant data exchange used in the interactions between TSOs and balancing service providers with regard to standard balancing products excluding operational real-time data exchange. Such standards shall focus on procurement, non-real-time activation, and settlement.
- 2. By 24 months after the publication of the list referred to in Article 11(1) of this Annex, each reserve connecting TSO shall implement the use of the European data exchange standards. Data exchange standards already implemented at the national level may continue to be applied.

Title 4 Final provisions

Article 12 Language

1. The reference language for this CHP shall be English. For the avoidance of doubt, where TSOs need to translate this CHP into their national language(s), in the event of inconsistencies between the English version published by TSOs in accordance with Article 7 of the EB Regulation and any version in another language, the relevant TSOs shall, in accordance with national legislation, provide the relevant national regulatory authorities with an updated translation of the CHP.