





**Regional Specific Annex for the CCR Core to the Harmonised Allocation Rules for long-term transmission rights in accordance with Article 52 of Commission Regulation (EU) 2016/1719 of 26 September 2016 establishing a Guideline on Forward Capacity Allocation**

May 2019

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| **Purpose:** | methodology draft | for public consultation |
|  | for NRA approval | for final publication |
| **Status:** | draft | final |
| **TSO approval:** | for approval | approved |
| **NRA approval:** | outstanding | approved |

TSOs of the Core CCR (“Core TSOs”), taking into account the following,

### Whereas

1. This document (hereafter referred to as the “Core Specific Annex”) is developed by the Transmission System Operators of the CCR Core (hereafter referred to as “Core TSOs”) as defined in the decision No 06/2016 of the Agency for the Cooperation of Energy Regulators of 17 November 2016 pursuant to Article 15(1) of the Commission Regulation (EU) 2015/1222.
2. The Core Specific Annex sets out specific requirements applicable to the CCR at regional and bidding zone border level pursuant to Article 52(3) of Commission Regulation (EU) 2016/1719 establishing a guideline on Forward Capacity Allocation (hereafter referred to as the “FCA Regulation”).
3. The Core Specific Annex constitutes an Annex to the harmonised allocation rules for long-term transmission rights on EU level (hereafter referred to as “HAR”) in accordance with Article 51 of the FCA Regulation as approved by ACER with its decision No. 03/2017 from 02 October 2017.
4. The initial Core Specific Annex was proposed by the Core TSOs on 13 April 2017 and approved by all National Regulatory Authorities of the CCR Core (hereafter referred to as the “Core NRAs”) by 20 October 2017 (CERRF Decision on 03 October 2017).
5. On 08 May 2018 Core TSOs proposed an amendment to the Core Specific Annex for the introduction of a compensation cap for the newly introduced long-term allocations as of 2019 on the CZ-SK bidding zone border. On 16 November 2018 Core TSOs received a request for amendment on this proposal by the Core NRAs (CERRF Decision on 05 September 2018). On 16 January 2019 Core TSOs responded to the request for amendment and the amended Core Specific Annex was approved by the Core NRAs on 08 April 2019 (CERRF Decision on 18 March 2019).
6. With the present amendment of the Core Specific Annex the Core TSOs:
   1. Add a cap on compensation which shall be applicable to the BE-DE/LU border in accordance with Article 59(2) of the HAR
   2. Delete border specific stipulations for the Hungarian/Romanian bidding zone border according to previous Article 21
   3. Indicate for market participants the planned switch from PTRs to FTR Options on the AT-CZ and AT-HU bidding zone borders in parallel with the go-live of the day-ahead implicit allocation on the affected bidding zone borders as submitted in April 2019 for approval to all NRAs of Core CCR with the ”2nd Amendment of the Core CCR TSOs' regional design of long-term Transmission rights based on Article 4(12) of Commission Regulation (EU) 2016/1719”.
7. The present amendment of the Core Specific Annex was consulted in accordance with Article 6 of the FCA Regulation from 20 May 2019 until 20 June 2019.
8. This document includes the following titles:
   1. The first title covers general provisions of the proposal;
   2. The second title addresses the applicability of a cap on compensations for curtailments in accordance with Article 59 of the HAR;
   3. The third title details further regional or bidding zone border specificities applicable to the CCR Core in accordance with Article 52(3) of the FCA Regulation.

agreed on the following regional specific annex for the CCR Core to the Harmonised Allocation Rules for long-term transmission rights:

### TITLE 1

### General Provisions

### Article 1

### Subject matter and scope

1. In accordance with Article 4 of the HAR, regional or border specificities may be introduced for one or more Bidding Zone borders. Rules described in this regional specific annex apply to the borders of the CCR Core.
2. This annex may be reviewed based on request of the relevant National Regulatory Authorities. In case this annex needs to be amended based on a decision of the National Regulatory Authorities, Article 68 of the HAR shall apply.
3. If there is an inconsistency between any of the provisions in the main body of the HAR and this annex, the provisions in this annex shall prevail. The capitalised terms used in this annex are defined in the HAR to which this annex is attached.

### TITLE 2

### Cap on compensation

### Article 2

### Bidding zone borders where a cap is applicable

For the purposes of this proposal and the HAR, a cap on compensation shall only apply to the bidding zone borders listed in the present title.

### Article 3

### Austria - Czech Republic (AT-CZ)

A cap on compensation shall be applicable to the AT-CZ border in accordance with Article 59(2) of the HAR.

### Article 4

### Austria - Germany/Luxembourg (AT-DE/LU)

A cap on compensation shall be applicable to the AT-DE/LU border in accordance with Article 59(2) of the HAR.

### Article 5

### Austria - Hungary (AT-HU)

A cap on compensation shall be applicable to the AT-HU border in accordance with Article 59(2) of the HAR.

### Article 6

### Austria - Slovenia (AT-SI)

A cap on compensation shall be applicable to the AT-SI border in accordance with Article 59(2) of the HAR.

### Article 7

### Belgium - France (BE-FR)

A cap on compensation shall be applicable to the BE-FR border in accordance with Article 59(2) of the HAR.

### Article 8

### Belgium - Germany/Luxembourg (BE-DE/LU)[[1]](#footnote-2)

A cap on compensation shall be applicable to the BE-DE/LU border in accordance with Article 59(3) of the HAR.

### Article 9

### Belgium - Netherlands (BE-NL)

A cap on compensation shall be applicable to the BE-NL border in accordance with Article 59(2) of the HAR.

### Article 10

### Croatia - Hungary (HR-HU)

A cap on compensation shall be applicable to the HR-HU border in accordance with Article 59(2) of the HAR.

### Article 11

### Croatia - Slovenia (HR-SI)

A cap on compensation shall be applicable to the HR-HU border in accordance with Article 59(2) of the HAR.

### Article 12

### Czech Republic - Germany/Luxembourg (CZ-DE/LU)

A cap on compensation shall be applicable to the CZ-DE/LU border in accordance with Article 59(2) of the HAR.

### Article 13

### Czech Republic - Poland (CZ-PL)

A cap on compensation shall be applicable to the CZ-PL border in accordance with Article 59(2) of the HAR.

### Article 14

### France - Germany/Luxembourg (FR-DE/LU)

A cap on compensation shall be applicable to the FR-DE/LU border in accordance with Article 59(2) of the HAR.

### Article 15

### Germany/Luxembourg - Netherlands (DE/LU-NL)

A cap on compensation shall be applicable to the DE/LU-NL border in accordance with Article 59(2) of the HAR.

### Article 16

### Hungary - Slovakia (HU-SK)

A cap on compensation shall be applicable to the HU-SK border in accordance with Article 59(2) of the HAR.

### Article 17

### Hungary - Romania (HU-RO)

A cap on compensation shall be applicable to the HU-RO border in accordance with Article 59(2) of the HAR.

### Article 18

### Poland - Slovakia (PL-SK)

A cap on compensation shall be applicable to the PL-SK border in accordance with Article 59(2) of the HAR.

### Article 19

### Poland - Germany/Luxembourg (PL-DE/LU)

A cap on compensation shall be applicable to the PL-DE/LU border in accordance with Article 59(2) of the HAR.

### Article 20

### Slovakia-Czech Republic (SK-CZ)

A cap on compensation shall be applicable to the SK-CZ border in accordance with Article 59(2) of the HAR.

### TITLE 3

### Further regional or bidding zone border specific requirements

### Article 21

### Constraints of the optimization function for CZ-SK-DE/LU-PL borders

1. The following definition is added:

**Technical Profile** means a combination of Biding Zone borders which have a common technical limit and represent the limit for commercial transactions on Interconnectors or parts of a national transmission system creating constraint of the optimisation function in form of relevant Offered Capacity in accordance with Article 35(3) of Allocation Rules.

1. Some bidding zone borders and their subsets between Czech Republic, Germany/Luxembourg, Poland and Slovakia have a common technical limit and therefore may create a Technical Profile.
2. Technical Profiles are listed below:

|  |  |  |  |
| --- | --- | --- | --- |
| **Set of Bidding Zone borders and/or their subsets**  **having a common technical limit**  List of responsible TSOs | | | **Technical Profile** |
| **Czech Republic (CZ)**  CEPS | <> | **Poland (PL)**  PSE | PSE->(50Hertz+CEPS+SEPS)  (50Hertz+CEPS+SEPS)->PSE |
| **Slovakia (SK)**  SEPS | <> |
| **Germany/Luxembourg (DE/LU)**  50Hertz | <> |
| **Poland (PL)**  PSE | <> | **Germany/Luxembourg (DE/LU)**  50Hertz | 50Hertz -> (PSE+CEPS)  (PSE+CEPS) -> 50Hertz |
| **Czech Republic (CZ)**  CEPS | <> |

### Article 22

### Automatic change of the PTRs to FTRs on AT-CZ and AT-HU borders

Allocated yearly PTRs on the AT-CZ and AT-HU borders shall be automatically switched from PTRs to FTR options (Switch Date from PTRs to FTRs) with the first delivery day of long term capacity that was allocated in the first month after the date of the start of daily implicit allocation on (Go-Live Date).

The expected Switch Date from PTRs to FTRs shall be announced in the Auction Specification for yearly allocation, which shall be in parallel with the expected Go-live Date.

Monthly FTRs on the AT-CZ and AT-HU borders shall be introduced as of the first published monthly auction spacification after the date of the start of daily implicit allocation on the concerned borders (Switch Date from PTRs to FTRs), as well. The allocation for the first monthly FTR options will be held according to the respective Auction Calendar published by the Single Allocation Platform and as defined in Auction Specification.

Market participants shall be informed through the Single Allocation Platform about such change at least 30 days before the final Switch Date from PTRs to FTRs.

Capacity right holder of yearly long term capacity shall be informed in written form by the Single Allocation Platform about such change at least 30 days before the final Switch Date from PTRs to FTRs.

This Article shall be applicable upon the approval of the FTR Option on the AT-CZ and AT-HU bidding zone borders in the amendment of the Core CCR TSOs’ regional design of long-term transmission rights.

### Article 23

### Entry into force

This annex, as amended, shall enter into force at the date and time specified in the amendment notice sent to Registered Participants by the Allocation Platform according to the procedure laid down in Article 68(2) of the HAR and subject to prior approval by the relevant National Regulatory Authorities in accordance with the procedure laid down in Article 4 of the FCA Regulation.

1. As soon as the bidding zone border Belgium-Germany/Luxembourg becomes effective. [↑](#footnote-ref-2)