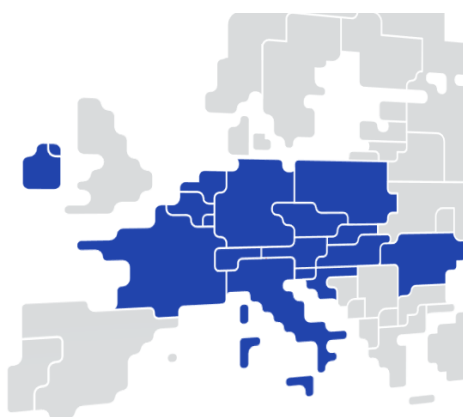


4th amendment of the Core CCR fallback
procedures in accordance with Article 44
of the Commission Regulation (EU)
2015/1222 of 24 July 2015 establishing a
guideline on capacity allocation and
congestion management

Purpose:	☐ methodology draft	☒ for public consultation
	☐ for NRA approval	☐ for final publication
Status:	☐ draft	☒ final
TSO approval:	☐ for approval	☒ approved
NRA approval:	☒ outstanding	☐ approved



Transmission System Operators (hereafter referred to as “TSOs”) of the Core Capacity Calculation Region (hereafter referred to as “Core CCR”), taking into account

Whereas

- (1) ACER Decision No 10/2018 of 27 September 2018 approving the Core CCR fallback procedures in accordance with Article 44 of the Commission Regulation (EU) 2015/1222 of 24 July 2015 establishing a guideline on capacity allocation and congestion management (“the CACM Regulation”). The latest approved version follows ACER Decision No 2/2021 of 30 March 2021 amending the above fallback procedures for the Core CCR.
- (2) ACER Decision No 4/2024 of 19 March 2024 approved the accession of the bidding zone border between the Single Electricity Market (hereafter referred to as “SEM”) and France (hereafter “SEM-FR bidding zone border”), which will become operational once the CELTIC interconnector is finalised, to the Core CCR.
- (3) The fallback solution currently applicable in the Core CCR relies on shadow auctions. However, the SEM-FR bidding zone border requires a different SDAC fallback solution to shadow auctions, as the remaining time until the CELTIC go-live does not allow for implementing shadow auctions on the SEM side of the SEM-FR bidding zone border. Given also the ongoing discussions regarding the SDAC fallback solutions and potential changes to existing processes or procedures, it further seems sensible to not yet undertake to develop capability for shadow auctions on the SEM-FR bidding zone border.
- (4) To still ensure and define respective fallback procedures for the SEM-FR bidding zone border before CELTIC go-live, intraday auction 1 (IDA1) and intraday continuous trading (IDCT) are to be used as the interim fallback solution on the SEM-FR bidding zone border. This proposed solution respects the objectives laid out in Article 3 of the CACM Regulation, with respect to promoting competition, optimizing use of cross-border transmission infrastructure, and providing non-discriminatory access to cross-zonal capacity.

Core TSOs developed the following fourth amendment of the Core CCR fallback procedures:

Article 1

Changes to the main body of the Core CCR fallback procedures

Article 2 of the Core CCR fallback procedures is to be altered by the addition of Article 1(f):

f) **SEM-FR bidding zone border** refers to the bidding zone border between the SEM and the French bidding zones

Article 3 of the Core CCR fallback procedures is to be replaced by

These fallback procedures shall apply to all bidding zone borders within the Core CCR that are participating in the single day-ahead coupling, with the exception of the SEM-FR bidding zone border. The allocation platform shall publish those bidding zone borders using shadow auctions as detailed above, in a list on its website and keep it up to date with regard to new bidding zone borders within the Core CCR joining the single day-ahead coupling.

Article 4(2) of the Core CCR fallback procedures is to be replaced by

2) In the event that the single day-ahead coupling process is unable to produce results for at least one bidding zone concerned within the Core CCR, excluding the SEM-FR bidding zone border, fallback procedures in the form of shadow auctions shall be performed by the respective allocation platform operator to allocate cross-zonal capacities on the concerned bidding zone border via the allocation platform. The shadow auctions shall use bilateral available transfer capacities to be provided by the respective Core TSOs on a daily basis for this purpose, that are also in line with the methodology pursuant to Article 20 of the CACM Regulation.

Article 4 of the Core CCR fallback procedures is to be altered by the addition of Article 4(7):

7) The above Articles 4(3), 4(4), 4(5) and 4(6) are not applicable to the SEM-FR bidding zone border. In cases of decoupling at this bidding zone border, the fallback procedure is to use the first implicit intraday auction (intraday auction/IDA 1) or, should IDA1 be cancelled, intraday continuous trading (IDCT). All available cross-zonal capacity to be allocated across this bidding zone border for the day-ahead coupling is to be made available in the IDA 1 auction, or in case this is cancelled as well, via IDCT.

Article 5(2) of the Core CCR fallback procedures is to be replaced by

2) These amended fallback procedures shall be implemented and operational as of their approval by all Core NRAs, as appropriate, and be applied as of commercial go-live of the CELTIC Interconnector. For the avoidance of doubt, this amendment is applicable for all bidding zone borders on the date of approval.

Article 2

Changes to the main body of the Core Shadow Allocation Rules

Article 1 of the Shadow Allocation Rules is to be replaced and read accordingly:

In the event that the single day-ahead coupling is unable to produce results, a fallback procedure is established. The fallback procedure for the allocation of Cross Zonal Capacity in the day-ahead market timeframe is an Explicit Allocation in the form of Physical Transmission Rights (PTR) of electrical energy on a daily basis, with the exception of the SEM-FR bidding zone border, which will use implicit allocation of cross-zonal capacity as part of the intraday auction 1 (IDA 1) and intraday continuous trading (IDCT) process as the fallback solution. For the avoidance of doubt, all rules existing for the IDA 1 and intraday continuous trading as they currently take place will continue to apply in the event that they are used as the SDAC fallback. As such, the SEM-FR bidding zone border is not considered to be an “Applicable Bidding Zone Border”, and the following Shadow Auction Rules do not apply on this bidding zone border.

Article 2 of the Shadow Auction Rules, containing the below definition, is to be altered and read accordingly:

Applicable Bidding Zone Borders means all the bidding zone borders in the CCR as well as the NO2-NL and NO2-DE borders to which the fallback procedures which have been established under Article 44 of the CACM Regulation and include these Shadow Allocation Rules apply explicitly excluding the SEM-FR bidding zone border;

Article 3

Publication and implementation of the fallback procedures

- (1) The Core TSOs shall publish this fourth amendment to the Core CCR fallback procedures without undue delay after the decision has been taken by relevant national regulatory authorities in accordance with Article 9(7) of the CACM Regulation.
- (2) This fourth amendment to the Core CCR fallback procedures shall be applied after the existence of all the concerned NRAs' approval and the go-live of the Celtic Interconnector. The start of the application of the fourth amendment to Core CCR fallback procedures will be published on the website of the allocation platform operator one month before application.
- (3) This document shall be published on the concerned Core TSOs' websites without undue delay after the decision has been taken by relevant national regulatory authorities in accordance with Article 9(7) of the CACM Regulation.

Article 4

Entry into force

This fourth amendment of the Core TSOs' fallback procedures, shall enter into force with the

approval by the relevant NRAs in accordance with Article 9(7) of the CACM Regulation.